

# Your Exam Content Outline

The following outline describes the content of one of the New York insurance examinations. The examination will contain questions on the subjects contained in the outline. The percentages indicate the relative weight assigned to each section of the examination. For example, 10 percent means that 6 questions will be drawn from the section on a 60-question exam, 10 will be drawn on a 100-question exam and 15 will be drawn on a 150-question exam.

## New York Bail Bond Agent Examination Series 17-59

**60 questions - 1-hour time limit**  
**Live Date- September 20, 2018**

### 1.0 Insurance Regulation 15%

#### 1.1 Licensing

Superintendent's general duties and powers  
(2404, 6802(c))

Process (6802(e-h))

Persons to be licensed (6801, 6802(a), 6805)

Requirements

Bond (6802(j))

Fingerprints (6802(g))

Maintenance and duration

Renewal (6802(f, m))

Change of address (Reg. 7 Part 23.4)

Disciplinary actions

Hearings-Notice and Process (2405,  
2406, Financial Services 305)

Penalties (6802(l))

Denial of license (6802(e))

Suspension and revocation (6802(k))

#### 1.2 Agent regulation

Employees (6802(b))

Record maintenance (Reg 42, Part 28.2)

Prohibited conduct

Misrepresentation (6802(k)(2))

Excessive fees (6802(k)(4), 6804)

Fraudulent or dishonest acts (6802(k)(3))

Referral of attorney (6802(k)(5))

Pre-arrest agreements (Reg 42, Part  
28.1)

Unfair discrimination (2609)

Rebating (2324)

Charges (6804)

Consumer privacy regulation (Reg 169, Parts  
420.0-420.24)

Cyber Security Requirements for Financial  
Services Companies (Reg 23)

#### 1.3 Federal regulation

Fraud and false statements including 1033  
waiver (18 USC 1033, 1034)

### 2.0 The Legal Framework 24%

#### 2.1 Authority

Express

Implied

Apparent

#### 2.2 Contracts

Elements of a legal contract

Offer and acceptance

Consideration

Competent parties

Legal purpose

Concealment

Fraud

#### 2.3 Jurisdiction

Original jurisdiction

Territorial

Subject matter

Personal

Appellate jurisdiction

#### 2.4 Terminology

Acquit

Adjudicate

Capital offense

Conviction  
Custody  
Defendant  
Disposition  
Extradition  
Felony  
Forfeiture  
Fugitive  
Hearing  
Incarceration  
Indictment  
Misdemeanor  
Recognizance  
Revoke  
Warrant

Justifying affidavit (520.20(4))  
Premium or compensation (6804)

### **3.5 Court procedures**

Court appearances  
    Arraignment  
    Trial  
    Appeal  
Conditions of release (520.30)  
    Prior to trial  
    After conviction — stay of execution  
    Pending appeal  
Failure to appear  
Revocation of the right to bail (CPL 530.80)

### **3.6 Release of surety**

### **3.7 Surrender of principal (defendant)**

Exoneration of bond  
Return of premium  
Return of collateral

### **3.8 Bond forfeiture (CPL 540)**

Motion  
Notice to defendant and sureties  
Judgment  
Dispersal of funds  
Arrest after forfeiture  
One year rule

## **3.0 Bail Bond Principles and Practices 61%**

### **3.1 Parties to a surety bond (CPL 500.10)**

Principal  
    Indemnitor for principal  
    Indemnity agreement  
Obligee  
Surety

### **3.2 Duties of bail bond agent**

Premium receipt (Reg 42, Part 28.2)  
Power of attorney  
Collateral and trust obligations

### **3.3 Types of bonds**

Criminal defendant bonds  
    Bail  
    Property bond  
    Nonsurety/cash (520.10(1)(a))  
    Ten percent surety (520.10(1)(e))  
    Extradition

### **3.4 Procedure**

Application for bond (surety/defendant contract)  
Collateral security  
Surety contract  
Posting the bond (520.20(1))